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for $\gamma_m w = z \bar{z} \quad (z, w) \in \mathbb{C}^2$

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Chern-Moser theory

S.S. Chern & Moser, Real hypersurfaces in complex manifolds, Acta Math. 133 (1974) 219-271

On non degeneracy conditions for the Lewy map in the hypersurface case.

Contents:

Lecture 1; Real Hypersurfaces in $\mathbb{C}^N$ /vector fields.

$$\mathbb{C}^N \quad Z = (Z_1, \dots, Z_N) \quad Z_j = x_j + iy_j, \quad j=1, \dots, N$$

$$x_j, y_j \text{ real numbers} \quad \bar{Z} = (\bar{Z}_1, \dots, \bar{Z}_N), \quad \bar{Z}_j = x_j - iy_j,$$

$$\text{complex conjugates of } Z_j, \quad i^2 = -1$$

$$x = (x_1, \dots, x_N) \quad y = (y_1, \dots, y_N), \quad |Z_j|^2 = x_j^2 + y_j^2$$

We may identify $\mathbb{C}^N$ with $\mathbb{R}^{2N}$, $\mathbb{C}^N \simeq \mathbb{R}^{2N}$

$$Z_1, \dots, Z_N \longrightarrow x_1, x_2, \dots, x_N, y_1, \dots, y_N$$

$$\frac{Z_j + \bar{Z}_j}{2}, \quad \frac{Z_j - \bar{Z}_j}{2i}$$

So if we have a function on a subset of $\mathbb{C}^N$, we write

$$f(x, y) \text{ or } f(Z, \bar{Z}) !$$

Comment: $N=1, \mathbb{C} \simeq \mathbb{R}^2$ $y = f(x)$ curve

$\leadsto$ want to generalize this notion to $\mathbb{C}^N \simeq \mathbb{R}^{2N}$, using the complex structure

(No $\mathbb{R}^3$!)

Definition

(2)

A smooth real hypersurface in $\mathbb{C}^N$ is a subset M of $\mathbb{C}^N$ such that $\forall p_0 \in M, \exists U$ neighborhood of p_0 in $\mathbb{C}^N$ and a smooth, real-valued function p defined in U such that

$$M \cap U = \{ z \in U : p(z, \bar{z}) = 0 \}, \text{ with}$$
$$dp = \left(\frac{\partial p^{(p)}}{\partial x_1}, \dots, \frac{\partial p^{(p)}}{\partial x_N}, \frac{\partial p^{(p)}}{\partial y_1}, \dots, \frac{\partial p^{(p)}}{\partial y_N} \right) \neq 0, p \in U$$

One say that M is real-analytic if p is real analytic.

Examples

The unit sphere in $\mathbb{C}^N$ given by $\sum_{j=1}^N |z_j|^2 = 1$ is a compact hypersurface.

Exercise 1 Let $+1(z)$ be the holomorphic rational mapping given by

$$H_j(z) = \frac{i z_j}{1 - z_N}, \quad j=1, \dots, N-1, \quad H_N(z) = \frac{i(z_N+1)}{1-z_N}$$

Show that $+1$ sends the unit sphere minus the point $(0, 0, \dots, 1)$ bijectively to the hypersurface

$$Im Z_N = \sum_{j=1}^{N-1} |z_j|^2$$

(called the Lewy hypersurface)

(Résolution page 5 / Thémis)

Exercise 2) For any hypersurface M and any $p_0 \in M$, there exist smooth coordinates $(x'_1, \dots, x'_{2N})$ near $p_0 \in M$ such that M is given by

$$x'_1 = 0 \quad \text{in a neighborhood of } p_0$$

(page 4, BER)

Exercise 3: If p and p' are two defining functions near $p_0 \in M$, there exists a non-vanishing real-valued smooth function a such that

$$p = ap' \quad \text{near } p_0$$

we have the following important result that shows that there exist "good" coordinates.

Theorem: (without proof: can be found in BER p. 4).

Let M be a real hypersurface in $\mathbb{C}^{n+1}$ at p_0 . Then there are holomorphic coordinates (z, w) near p_0 , $z \in \mathbb{C}^n$, $w \in \mathbb{C}$, $w = s + it$, $\varphi(z, \bar{z}, s)$ a real-valued smooth function such that $\varphi(z, \bar{z}, s)$ is defined near 0 in $\mathbb{R}^{2n+1}$,

$\varphi(0) = 0$, $d\varphi(0) = 0$ and M is given near p_0 by

$$\operatorname{Im} w = \varphi(z, \bar{z}, \operatorname{Re} w)$$

or

$$t = \varphi(z, \bar{z}, s) \quad \leftarrow \begin{pmatrix} \text{curve} \\ \text{in } \mathbb{C} \\ \text{no } z! \end{pmatrix}$$

The proof is based on the Taylor extension of the defining function.

Now, we are interested to use the complex structure of $\mathbb{C}^N$. (4)

$$T_p \mathbb{C}^N = T_p \mathbb{R}^{2N} = \left\{ X = \sum_{j=1}^N a_j \frac{\partial}{\partial x_j} \Big|_p + \sum b_j \frac{\partial}{\partial y_j} \Big|_p, \right. \\ \left. a_j, b_j \in \mathbb{R} \right\}$$

real tangent space to $\mathbb{C}^N$ at p

Definition If $p \in M$ and $X \in T_p \mathbb{C}^N$, we say that X is tangent to M at p if

$$\sum_{j=1}^N a_j \frac{\partial p}{\partial x_j} (p, \bar{p}) + \sum_{j=1}^N b_j \frac{\partial p}{\partial y_j} (p, \bar{p}) = 0 \quad \leftarrow \mathbb{R}^{2N} \text{ language}$$

Now $\mathbb{C}^N \simeq \mathbb{R}^{2N}$. Define the "complexified" tangent space $\mathbb{C}T_p \mathbb{C}^N$ or $\mathbb{C}T_p M$ by allowing the coefficients a_j and b_j in the expression above to be complex numbers.

Remarks:

$$\dim_{\mathbb{R}} T_p M = \dim_{\mathbb{C}} \mathbb{C}T_p M = 2N - 1$$

As usual $\frac{\partial}{\partial \bar{z}_j} = \frac{1}{2} \left(\frac{\partial}{\partial x_j} - i \frac{\partial}{\partial y_j} \right)$

$$\frac{\partial}{\partial z_j} = \frac{1}{2} \left(\frac{\partial}{\partial x_j} + i \frac{\partial}{\partial y_j} \right)$$

Therefore $X \in T_p \mathbb{C}^N$ can be written uniquely in the form

(5)

$$X = \sum a_j \frac{\partial}{\partial z_j} \Big|_p + \sum b_j \frac{\partial}{\partial \bar{z}_j} \Big|_p, \quad a_j, b_j \in \mathbb{C}$$

X is said holomorphic if $b_j = 0$ $\frac{1}{p}^{1,0} \mathbb{C}^N$
antiholomorphic if $a_j = 0$ $\frac{1}{p}^{0,1} \mathbb{C}^N$
 $\dim_{\mathbb{C}} \frac{1}{p}^{1,0} \mathbb{C}^N = \dim_{\mathbb{C}} \frac{1}{p}^{0,1} \mathbb{C}^N = N$

Definition

$$T_p = \frac{1}{p}^{0,1} \mathbb{C}^N \cap \mathbb{C} T_p M$$

Exercise 4:

$$\dim_{\mathbb{C}} T_p = N-1$$

(reason $dp = \partial p + \bar{\partial} p$)

$$\sum \frac{\partial p}{\partial x_j} dx_j + \sum \frac{\partial p}{\partial y_j} dy_j = \sum \frac{\partial p}{\partial z_j} dz_j + \sum \frac{\partial p}{\partial \bar{z}_j} d\bar{z}_j$$

$$\left[\text{Reason: } \frac{1}{2} \left(\frac{\partial p}{\partial x} - i \frac{\partial p}{\partial y} \right) (dx + i dy) + \frac{1}{2} \left(\frac{\partial p}{\partial x} + i \frac{\partial p}{\partial y} \right) (dx - i dy) \right. \\ \left. = \frac{\partial p}{\partial x} dx + \frac{\partial p}{\partial y} dy \right]$$

Definition Let M be a real hypersurface (6) and let $\mathcal{V}$ be its CR bundle,

$\|(\mathcal{V} \subset T\mathbb{M}$ whose fiber at $p \in M$ is $\mathcal{V}_p$ is called the CR bundle of M).

A vector field L on M is a CR vector field if for every $p \in M$, $L_p \in \mathcal{V}_p$.

$$L = \sum_1^N c_j(p) \frac{\partial}{\partial z_j}, \quad c_j \text{ smooth functions on } M.$$

$$\text{and } \sum c_j(p) \frac{\partial \rho}{\partial z_j}(p) = 0.$$

Definition: Let M be a hypersurface.

f is a CR function if $Lf=0$, $\forall$ CR vector field L on M , $f \in C^1(M)$ at least.

Basis for the vector fields:

$$L_j = \frac{\partial}{\partial z_j} - \frac{\rho_{\bar{z}_j}}{\rho_{\bar{w}}} \frac{\partial}{\partial \bar{w}}, \quad j=1, \dots, n$$

$$\text{Indeed, } \left(\frac{\partial}{\partial z_j} - \frac{\rho_{\bar{z}_j}}{\rho_{\bar{w}}} \frac{\partial}{\partial \bar{w}} \right) \rho = \frac{\partial \rho}{\partial z_j} - \rho_{z_j} = 0!$$

We have that if M is given by $\sum_{j=1}^n w_j \bar{w}_j = \varphi(z, \bar{z}, \Re w)$, $\varphi(0)=0$, $d\varphi(0)$,

$$L_j = \frac{\partial}{\partial z_j} - 2i \frac{\varphi_{\bar{z}_j}}{1+i\varphi_s} \frac{\partial}{\partial \bar{w}}, \quad s = \Re w$$

$$\left[\left(\frac{\partial}{\partial z_j} - 2i \frac{\varphi_{\bar{z}_j}}{1+i\varphi_s} \frac{\partial}{\partial \bar{w}} \right) \left(\frac{w-\bar{w}}{2i} - \varphi(z, \bar{z}, \Re w) \right)^{\frac{w+\bar{w}}{2}} \right]$$

$$L = -\varphi_{\bar{z}_j} - 2i \frac{\varphi_{\bar{z}_j}}{1+i\varphi_s} \left(-\frac{1}{2i} - \varphi_s \begin{pmatrix} 1 \\ 2i \end{pmatrix} \right)$$

$$= -\varphi_{\bar{z}} - 2i \frac{\varphi_{\bar{z}}}{1+i\varphi_s} \left(-\frac{1}{2i} - \varphi_s \frac{i}{2i} \right) \quad (7)$$

$$= -\varphi_{\bar{z}} + \frac{\varphi_{\bar{z}}}{1+i\varphi_s} (1+i\varphi_s) = 0$$

Exercise 5: (Very important)

In the parametrization $\psi: (z, \bar{z}, s) \rightarrow (z, s+i\varphi(z, \bar{z}, s))$ the pushforward by ψ^{-1} is given by

$$\Lambda_j = \frac{\partial}{\partial \bar{z}} - i \frac{\varphi_{\bar{z}}}{1+i\varphi_s} \frac{\partial}{\partial s}, \quad j=1, \dots, n.$$

Proof: $(\psi^*)^{-1}(\Lambda_j)(f) = \Lambda_j(f \circ \psi^{-1})$

$$\left(\frac{\partial}{\partial \bar{z}} - 2i \frac{\varphi_{\bar{z}}}{1+i\varphi_s} \right) \frac{\partial}{\partial \bar{w}} \left(\tilde{f}(\psi^{-1}(z, \bar{z}, s+i\varphi)) \right)$$

or directly

$$\begin{aligned} & \psi^* \left(\frac{\partial}{\partial \bar{z}} - i \frac{\varphi_{\bar{z}}}{1+i\varphi_s} \frac{\partial}{\partial s} \right) f(z, \bar{z}, s+i\varphi(z, \bar{z}, s)) \\ &= \left(\frac{\partial}{\partial \bar{z}} - i \frac{\varphi_{\bar{z}}}{1+i\varphi_s} \frac{\partial}{\partial s} \right) \tilde{f}(z, \bar{z}, s) \\ &= \frac{\partial}{\partial \bar{z}} \tilde{f}(z, \bar{z}, s+i\varphi(z, \bar{z}, s)) + \frac{\partial}{\partial \bar{w}} \tilde{f}(i\varphi_{\bar{z}}) \\ &+ \frac{\partial}{\partial \bar{w}} \tilde{f}(-i\varphi_{\bar{z}}) \end{aligned}$$

$$- i \frac{\varphi_{\bar{z}}}{1+i\varphi_s} \left[\frac{\partial}{\partial w} f(\cdot)(1+i\varphi_s) + \frac{\partial}{\partial \bar{w}} f(1-i\varphi_s) \right] \quad (8)$$

$$= \frac{\partial}{\partial \bar{z}} f + \frac{\partial}{\partial w} f(i\varphi_{\bar{z}}) + \frac{\partial}{\partial \bar{w}} f(-i\varphi_{\bar{z}}) \frac{1+i\varphi_s}{1+i\varphi_s}$$

$$- i \varphi_{\bar{z}} \frac{\partial f}{\partial w} - i \varphi_{\bar{z}} f(1-i\varphi_s) / 1+i\varphi_s \frac{\partial f}{\partial \bar{w}}$$

$$= \frac{\partial f}{\partial \bar{z}} - \frac{i\varphi_{\bar{z}}}{1+i\varphi_s} \underbrace{(1+i\varphi_s + 1-i\varphi_s)}_2 \frac{\partial f}{\partial \bar{w}}$$

$$= \left(\frac{\partial}{\partial \bar{z}} - \frac{2i\varphi_{\bar{z}}}{1+i\varphi_s} \frac{\partial}{\partial \bar{w}} \right) f \quad \checkmark$$

Lecture IV:

(1)

Len form of a real hypersurface in

$\mathbb{C}^N$

$N = n+1$

Rappel: $\mathcal{V}_p = \frac{1}{p} \circ \mathbb{C}^N \cap \mathbb{C} T_p M$

$$L_j = \frac{\partial}{\partial z_j} - 2i \frac{\varphi_{\bar{z}_j}}{1+i\varphi_j} \frac{\partial}{\partial \bar{w}}$$

$$\Lambda_j = \frac{\partial}{\partial \bar{z}_j} - i \frac{\varphi_{\bar{z}_j}}{1+i\varphi_j} \frac{\partial}{\partial s}$$

Let π_p be the natural quotient map

$$\pi_p: \mathbb{C} T_p M \rightarrow \mathbb{C} T_p M / \mathcal{V}_p \oplus \overline{\mathcal{V}_p}$$

and

$$\pi: \mathbb{C} T M \rightarrow \mathbb{C} T M / \mathcal{V} \oplus \overline{\mathcal{V}}$$

Exercise 6: If X and Y are $\mathbb{C}\mathbb{R}$ vector fields on M , defined near p , with $X(p) = X_p \in \mathcal{V}_p$ and $Y(p) = Y_p \in \mathcal{V}_p \Rightarrow$

$\pi_p([X, \bar{Y}](p))$ depends only

on the values X_p and Y_p and not of the choice of the vector fields X and Y extending X_p and Y_p .

Proof:

(2)

$$\begin{aligned}
 & \left[a(z, \bar{z}) \left(\frac{\partial}{\partial \bar{z}} - i \frac{\varphi_{\bar{z}}}{1+i\varphi_s} \frac{\partial}{\partial s} \right), b(z, \bar{z}) \left(\frac{\partial}{\partial z} + i \frac{\varphi_{z\bar{z}}}{1-i\varphi_s} \frac{\partial}{\partial s} \right) \right] \\
 &= a(z, \bar{z}) L(b) \left[\frac{\partial}{\partial \bar{z}} + i \frac{\varphi_{z\bar{z}}}{1-i\varphi_s} \frac{\partial}{\partial s} \right] \quad \text{mod} \\
 &- b(z, \bar{z}) \bar{L}(a) \left[\frac{\partial}{\partial \bar{z}} - i \frac{\varphi_{\bar{z}}}{1+i\varphi_s} \frac{\partial}{\partial s} \right] \\
 &+ a(z, \bar{z}) b(z, \bar{z}) \left(\text{terms in } \varphi \text{ and } \frac{\partial}{\partial s} \right)
 \end{aligned}$$

Hence the following definition

Definition. The ~~Levi~~ ^{Levi} form (called also Levi map in more general context) is the Hermitian form

$$L_p: \mathcal{V}_p \times \mathcal{V}_p \rightarrow \mathbb{C} \bar{T}_p M / \mathcal{V}_p \oplus \mathcal{V}_p$$

$$(X_p, Y_p) \rightarrow \frac{1}{2i} \pi_p [X, \bar{Y}](p)$$

where X and Y are CR vector fields on M extending the CR vectors X_p and Y_p .

$$L: V \times V \rightarrow \mathbb{C}TM / V \otimes V$$

Lie map

Exercise 7 ^{part of the Lie} Show that L_p is an Hermitian form on V_p .

We have to show:

- $\langle ax + by, z \rangle = a \langle x, z \rangle + b \langle y, z \rangle$
- $\langle y, x \rangle = \overline{\langle x, y \rangle}$

$$\begin{aligned} \langle aX_p + b\tilde{X}_p, Y_p \rangle &= \frac{1}{2i} \pi_p ([aX_p + b\tilde{X}_p, \bar{Y}_p]) \\ &= \dots \quad \text{bracket properties.} \end{aligned}$$

$$\bullet \quad \langle Y_p, X_p \rangle = \frac{1}{2i} [Y_p, \bar{X}_p]$$

$$\langle X_p, Y_p \rangle = \frac{1}{2i} [X_p, \bar{Y}_p]$$

$$\begin{aligned} \Rightarrow \overline{\langle X_p, Y_p \rangle} &= -\frac{1}{2i} [\bar{X}_p, Y_p] = \frac{1}{2i} [Y_p, \bar{X}_p] \\ &= \langle Y_p, X_p \rangle \quad \checkmark \end{aligned}$$

(That is why one needs to put a "i" in front of the bracket).

Exercise 8:

$$d\varphi=0$$

$$\varphi(0)=0$$

(4)

Let M in $\mathbb{C}^2$ be given by

$$\operatorname{Im} w = \textcircled{2} z \bar{z} + z^2 \bar{z}^2 + z^3 \bar{z}^3$$

Compute the Levi form of M at 0.

Proof:

$$\text{in } \mathbb{C}^2: \quad L = \frac{\partial}{\partial z} - \frac{i \varphi_z}{1+i\varphi_s} \frac{\partial}{\partial s}$$

$$\bar{L} = \frac{\partial}{\partial \bar{z}} + i \frac{\varphi_{\bar{z}}}{1-i\varphi_s} \frac{\partial}{\partial s}$$

Take a basis L : we compute $[L, \bar{L}]$

$$\frac{1}{2i} [L, \bar{L}] = \left[\frac{\partial}{\partial z} - i \frac{\varphi_z}{1+i\varphi_s} \frac{\partial}{\partial s}, \frac{\partial}{\partial \bar{z}} + i \frac{\varphi_{\bar{z}}}{1-i\varphi_s} \frac{\partial}{\partial s} \right]$$

$$= \left[\frac{\partial}{\partial z}, \frac{\partial}{\partial \bar{z}} + i \frac{2\bar{z} + 2\bar{z}^2 z + 3\bar{z}^3 z^2}{1} \frac{\partial}{\partial s} \right]$$

$$= i \left(2 + 4\bar{z}^2 z + 9\bar{z}^2 z^2 \right) \frac{\partial}{\partial s} + i \left(2 + 4z^2 \bar{z} + 9z^2 \bar{z} \right) \frac{\partial}{\partial s}$$

$$= \frac{1}{2i} 4i = \textcircled{2}$$

$$\sim \operatorname{Im} w = a z \bar{z} + \dots$$

(a)

(5)

In general in $\mathbb{C}^{n+1}$

$$\Im W = \varphi(z, \bar{z}, \text{Re} w) = a z_1 \bar{z}_1 + b z_2 \bar{z}_2 + c z_1 \bar{z}_2 + d z_2 \bar{z}_1 + \dots$$

$\begin{pmatrix} a & c \\ d & b \end{pmatrix}$ is the matrix of the Hermitian form.

Exercise 8: Let M in $\mathbb{C}^3$ given by

$$\Im w = z_1 \bar{z}_1 + 5 z_1 + 5 \bar{z}_1 + z_2 \bar{z}_2$$

Compute the Levi form of M at 0.

Definition. The Levi form L_p is nondegenerate

$$\text{if } \quad \forall p \quad (X_p, Y_p) = 0 \quad \forall Y_p \in \mathcal{V}_p \Rightarrow X_p = 0$$

Examples $\Im w = z^2 \bar{z}^2$ in $\mathbb{C}^2$ is degenerate!

$\Im w = z_1 \bar{z}_1$ in $\mathbb{C}^3$ is degenerate

$$\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

$$L_p(L_2, L_2) = 0! \quad \forall L_2$$

$$\left[\frac{\partial}{\partial \bar{z}_2}, \frac{\partial}{\partial z_2} \right] = 0$$

$$\left[\frac{\partial}{\partial \bar{z}_2}, \frac{\partial}{\partial z_1} + i \bar{z}_1 \frac{\partial}{\partial s} \right] = 0!$$

Definition. M is pseudoconvex at o (6)

if the Levi form is either positive semidefinite at all p in a neighborhood of o in M or negative semidefinite at all p in such a neighborhood.

Similarly, M is strictly pseudoconvex at $p_0 \in M$ if the Levi form is positive or negative definite at $p_0 \in M$.

Examples. In $\mathbb{C}^2$, the nondegenerate hypersurfaces are pseudoconvex : $a z \bar{z}$
 $\downarrow$
 $> 0 \quad < 0 \quad \text{or} \quad = 0$

In $\mathbb{C}^3$

$Imw = |z_1|^2 + |z_2|^2$ strictly pseudoconvex

$Imw = |z_1|^2$ pseudoconvex

$Imw = |z_1|^2 - |z_2|^2$ Levi nondegenerate!

()

(One way Levi nondeg $\Leftrightarrow \det() \neq 0$

Appendix: In case enough time about CR functions

Let M be a real-analytic hypersurface of $\mathbb{C}^n$.

If $u(z, \bar{z}, s)$ is a CR function, which means

$$L_j u = 0 \quad \forall j=1, \dots, n, \quad N = n+1,$$

then $u(z, \bar{z}, s)$ extends holomorphically to a full neighborhood of 0 if and only if there exists $\varepsilon > 0$ such that for every $z \in \mathbb{C}^n, |z| < \varepsilon$, the function $s \rightarrow u(z, \bar{z}, s)$ extends holomorphically to the open set $\{s+it \in \mathbb{C}, |s| < \varepsilon, |t| < \varepsilon\}$ in such a way that the extension $u(z, \bar{z}, s+it)$ is a bounded, measurable function in all its variables.

Proof:

As M is real-analytic, $\varphi(z, \bar{z}, s)$ is real-analytic, and hence s can be complexified. Therefore the map

$$\psi(z, \bar{z}, s) \rightarrow (z, s + i\varphi(z, \bar{z}, s))$$

$\downarrow$

$$\psi(z, \bar{z}, s, t) \rightarrow (z, s+it + i\varphi(z, \bar{z}, s+it))$$

If f is a CR function on M , then

$$u(z, \bar{z}, s) = f(z, s + i\varphi(z, \bar{z}, s)) = f(\psi(z, \bar{z}, s))$$

is "a CR" function

Def: $u(z, \bar{z}, s)$ extends holomorphically to a neighborhood of 0 $\in \mathbb{C}^n$ if f does

i.e. $\exists h(z, w)$ holomorphic in a neighborhood of 0 $\in \mathbb{C}^n$ such that

$$u(z, \bar{z}, s) = h(z, s + i\varphi(z, \bar{z}, s))$$

$\Rightarrow \exists$ a holomorphic function (2)

$h(z, w)$ in a neighborhood of 0 in $\mathbb{C}^{n+1}$

such that $h(z, s + i\varphi(z, \bar{z}, s)) = u(z, \bar{z}, s)$

Hence, if u extends holomorphically to a neighborhood of 0, then $u(z, \bar{z}, s)$ extends holomorphically in s for fixed z by putting

$$u(z, \bar{z}, s + it) = h(z, s + it + i\varphi(z, \bar{z}, s + it)) = h(\psi(x, y, s, it))$$

in this structure.

$\Leftarrow s \rightarrow u(z, \bar{z}, s)$ extends holomorphically for fixed z , $|z| < \varepsilon$

we define $h(z, \bar{z}, w, \bar{w})$ by

$$h(z, \bar{z}, w, \bar{w}) = u(\psi^{-1}(z, w))$$

By hypothesis, h is measurable, bounded function.

- This is a very nice argument of distribution theory.

BER $\rightarrow$ p. 28!

Corollary (Important for the third lecture)

Let f be a CR function

then f extends as a holomorphic function

if and only if f is real analytic in a neighborhood of p in M .

Infinitesimal CR Automorphisms. Lecture III

41

Notion of smooth vector field in the first lecture.

Definition: A smooth real vector field X defined on an open subset U of M is called infinitesimal CR automorphism of M if, for every $p \in U$, there exists $\varepsilon > 0$ and an open neighborhood $U' \subset U$ of p such that the diffeomorphisms $U' \ni q \rightarrow \exp tX \cdot q \in U$ are CR mappings for each $|t| \leq \varepsilon$.

[The flow $t \rightarrow \exp tX \cdot p$ is the integral curve $\gamma(t)$ satisfying the initial value problem

$$\begin{cases} \frac{d\gamma}{dt}(t) = X(\gamma(t)) \\ \gamma(0) = p \end{cases}$$

Here is a very useful characterization of the infinitesimal CR automorphisms of M (without proof BER p 362)

Theorem.

(2)

Let X be a real vector field defined on an open subset $U \subset M$

then X is an infinitesimal CR automorphism of M $\iff$

for every smooth CR vector field L in U , the commutator $[X, L]$ is again a CR vector field.

Definition $\text{aut}(M, p)$ is the set of germs of infinitesimal CR automorphisms at p .

A consequence of the theorem above is that $\text{aut}(M, p_0)$ is a Lie algebra.

Indeed if $X, Y \in \text{aut}(M, p_0)$, then $[X, Y] \in \text{aut}(M, p_0)$.

$X \in \text{aut}(M, p) \implies [X, L]$ is CR if L is CR

$Y \in \text{aut}(M, p) \implies [Y, L]$ is CR " " " "

$$[[X, Y], L] = -[L, [X, Y]] = \underbrace{[Y, [L, X]]}_{\text{CR}} + \underbrace{[X, [Z, L]]}_{\text{CR}}$$

Corollary: let M be a smooth real hypersurface (3) and let X be a smooth real vector field on M defined in an open subset $U \subset M$. Then X is an infinitesimal CR automorphism of M if and only if

$$X = \sum_{j=1}^N \left(a_j \frac{\partial}{\partial z_j} + \bar{a}_j \frac{\partial}{\partial \bar{z}_j} \right),$$

where the $a_j, j=1, \dots, N$ are CR functions in U .

Proof: Observe that X , being a real smooth vector field on $M \subset \mathbb{C}^N$ has a representation of the form

$$X = \sum_{j=1}^N \left(a_j \frac{\partial}{\partial z_j} + \bar{a}_j \frac{\partial}{\partial \bar{z}_j} \right)$$

for some smooth functions a_j in U . We must show that X is an infinitesimal CR automorphism if and only if the $a_j, j=1, \dots, N$ are CR functions.

$$L = \sum_{j=1}^N b_j \frac{\partial}{\partial \bar{z}_j} \quad \text{CR vector field}$$

$$[X, L] = \sum_{j=1}^N (X b_j - L \bar{a}_j) \frac{\partial}{\partial \bar{z}_j} - L a_j \frac{\partial}{\partial z_j}$$

$\Rightarrow$
Theorem above

Indeed

(4)

$$\left[\sum a_j \frac{\partial}{\partial z_j} + \sum \bar{a}_j \frac{\partial}{\partial \bar{z}_j}, \sum b_j \frac{\partial}{\partial \bar{z}_j} \right] =$$

$X(b_j)$

$$\sum \left[\cancel{a_j} b_j \right] \frac{\partial}{\partial \bar{z}_j} - \sum \left[\bar{a}_j b_j \right] \frac{\partial}{\partial \bar{z}_j} - \underbrace{\sum a_j \frac{\partial b_j}{\partial \bar{z}_j}}_{=0}$$

$$\Rightarrow \sum a_j = 0 \Rightarrow a_j \in \mathbb{R}$$

#

Now, we will be particularly interested by the following ^{real-analytic} subalgebra of $\text{aut}(M, p)$.

Definition: $\Pi^{\text{real-analytic}} \text{hol}(M, p) \subset \text{aut}(M, p)$ is the set of germ of real-analytic infinitesimal CR automorphism.

Proposition: $X \in \text{hol}(M, p) \stackrel{\Pi^{\text{real-analytic}}}{\Leftrightarrow}$ there exists Z holomorphic vector field such that $\text{Re } Z$ is tangent to M and $X = \text{Re } Z$.

Proof: By the corollary proved in Lecture II, we have that $X \in \text{hol}(M, p)$ is given by

$$\sum h_j(z) \frac{\partial}{\partial z_j} + \sum \bar{h}_j(z) \frac{\partial}{\partial \bar{z}_j} \Big|_M$$

$$a_j = h_j(z, \bar{z}, s + i\varphi(z, \bar{z}, s))$$

(5)
It shows also that $\text{hol}(M, p)$ is a
Lie subalgebra: $X, Y \in \text{hol}(M, p) \Rightarrow$
 $[X, Y] \in \text{hol}(M, p)$

Indeed: $\underbrace{\left[\sum h_j \frac{\partial}{\partial z_j} + \sum \bar{a}_j \frac{\partial}{\partial \bar{z}_j}, \sum k_j \frac{\partial}{\partial z_j} + \sum \bar{k}_j \frac{\partial}{\partial \bar{z}_j} \right]}_{\text{hol}}$

$$= \left[\sum h_j \frac{\partial}{\partial z_j}, \sum k_j \frac{\partial}{\partial z_j} \right] + \left[\sum \bar{a}_j \frac{\partial}{\partial \bar{z}_j}, \sum \bar{k}_j \frac{\partial}{\partial \bar{z}_j} \right]$$

$$= \text{Re} \left[\sum h_j \frac{\partial}{\partial z_j}, \sum k_j \frac{\partial}{\partial z_j} \right] \text{ hol}$$

In the next lecture, we will compute
 $\text{hol}(M, p)$ for M given by

$$I_M W = a z \bar{z}$$

$$(z, w) \in \mathbb{C}^2$$

$$a \neq 0$$

is non
degenerate

Lecture IV

4

Computing $\text{hol}(M, \sigma)$ for M given by

$$\text{Im } w = c z \bar{z} \quad c \neq 0 \quad (z, w) \in \mathbb{C}^2$$

For simplicity, I am choosing the $\mathbb{C}^2$ case, but the computation is the same in $\mathbb{C}^N$.

$$M : \text{Im } w = z \bar{z}$$

- To make the computation easier, we decide to give weights to the variables z, w and the derivatives $\frac{\partial}{\partial z}, \frac{\partial}{\partial w}$

$$z \rightsquigarrow \frac{1}{2} \quad w \rightsquigarrow 1 \quad \frac{\partial}{\partial z} \rightsquigarrow -\frac{1}{2} \quad \frac{\partial}{\partial w} \rightsquigarrow (-1)$$

$$\left(\text{or } z \rightsquigarrow 1 \quad w \rightsquigarrow 2 \quad \frac{\partial}{\partial z} \rightsquigarrow -1 \quad \frac{\partial}{\partial w} \rightsquigarrow -2 \right)$$

it depends of the authors.

So, you see

$z \bar{z} \rightsquigarrow 1$ which is the weight of w !

So we come for holomorphic vector

$$\text{field } Z = \underbrace{f(z, w)}_{\text{hol}} \frac{\partial}{\partial z} + \underbrace{g(z, w)}_{\text{hol}} \frac{\partial}{\partial w}$$

for which $\text{Re } Z = X$ is tangent to M !

$$\text{Re } Z (\text{Im } w - z \bar{z}) = 0$$

$$Z (\text{Im } w - z \bar{z}) + \bar{Z} (\text{Im } w - z \bar{z}) = 0 !$$

$$z() + \overline{z()} = z() + \overline{z()} \quad (2)$$

because it's real

What is the weight minimum of a vector field with these choice of weights?

$$a \frac{\partial}{\partial w} \quad ?$$

$$\underbrace{\quad}_{-1}$$

$$\operatorname{Re} a \frac{\partial}{\partial w} (\operatorname{Im} w - z\bar{z}) = 0 \quad \text{for which } a?$$

$$a \frac{\partial}{\partial w} \left(\frac{w - \bar{w}}{2i} - z\bar{z} \right) = a \frac{1}{2i}$$

$$a \frac{1}{2i} + \bar{a} \frac{1}{-2i} = 0$$

$$a - \bar{a} = 0 \Rightarrow a = \bar{a} \Rightarrow$$

$$a \in \mathbb{R}$$

$$\bullet \quad a \frac{\partial}{\partial w} \in \operatorname{hol}(\Pi, 0), \quad a \in \mathbb{R} \quad (1)$$

Next:

$$a \frac{\partial}{\partial z} + b z \frac{\partial}{\partial w} (\operatorname{Im} w - z\bar{z})$$

$$\underbrace{\quad}_{-\frac{1}{2}}$$

$$\underbrace{\quad}_{-\frac{1}{2}}$$

$$(-1)$$

$$= \left(a \frac{\partial}{\partial z} + b z \frac{\partial}{\partial w} \right) \left(\frac{w - \bar{w}}{2i} - z\bar{z} \right)$$

$$= -a\bar{z} + b z \frac{1}{2i}$$

$$\Rightarrow -a\bar{z} + b z \frac{1}{2i} - \bar{a} z + b\bar{z} \left(-\frac{1}{2i} \right) = 0$$

$$-a\bar{z} + bz \frac{1}{2i} - \bar{a}z + \bar{b}\bar{z} \left(-\frac{1}{2i}\right) = 0 \quad | \cdot 2i \quad (3)$$

$$-2ia\bar{z} + bz - 2i\bar{a}z - \bar{b}\bar{z} = 0$$

$$-2ia - \bar{b} = 0 \quad \downarrow \quad 2i\bar{a} - b = 0$$

$$b - 2i\bar{a} = 0 \quad b - 2i\bar{a} = 0$$

hence, does not bring anything.

$$b = 2i\bar{a}, \quad b, a \in \mathbb{C}!$$

$$\Rightarrow X = a \frac{\partial}{\partial z} + 2i\bar{a}z \frac{\partial}{\partial w}, \quad a \in \mathbb{C} \\ \text{2 parameters}$$

Check:

(2)

$$-a\bar{z} + 2i\bar{a}z \frac{1}{2i} - \bar{a}z - 2ia\bar{z} \left(-\frac{1}{2i}\right) =$$

$$a = 1 \quad b = i$$

$$(a+ib) \frac{\partial}{\partial z} + 2i(a-ib) \frac{\partial}{\partial w}$$

$$b=0 \quad a \frac{\partial}{\partial z} + 2ia \frac{\partial}{\partial w}$$

$$a=0 \quad ib \frac{\partial}{\partial z} + 2(-ib) \frac{\partial}{\partial w} = ib \frac{\partial}{\partial z} + 2b \frac{\partial}{\partial w}$$

Basis:

$$\left(\frac{\partial}{\partial z} + 2i \frac{\partial}{\partial w} \right), \quad i \frac{\partial}{\partial z} + 2 \frac{\partial}{\partial w}$$

$$\dim_{\mathbb{R}} = 2$$

$$\left(-\frac{1}{2} \right)$$

Next weight 0

(4)

$$a z \frac{\partial}{\partial z} + (b z^2 + c w) \frac{\partial}{\partial w}$$

and you play the same game

$$-a z \bar{z} + (b z^2 + c w) \frac{1}{2i} - \bar{a} z \bar{z} + (\bar{b} \bar{z}^2 + \bar{c} \bar{w}) \left(\frac{-1}{2i} \right) \Big|_{\eta=0}$$

$$(-a - \bar{a}) z \bar{z} + b z^2 \frac{1}{2i} - \bar{b} \bar{z}^2 \frac{1}{2i}$$

$$+ c w \frac{1}{2i} - \bar{c} \bar{w} \frac{1}{2i} \Big|_{\eta=0}$$

that means that we have to replace w by

$$s + i z \bar{z}$$

$$\Rightarrow (-a - \bar{a}) z \bar{z} + b z^2 \left(\frac{1}{2i} \right) - \bar{b} \bar{z}^2 \frac{1}{2i} + c (s + i z \bar{z}) \frac{1}{2i} - \bar{c} (s - i z \bar{z}) \frac{1}{2i} = 0$$

$$-a - \bar{a} + \frac{1}{2}c + \frac{1}{2}\bar{c} = 0$$

$$b = 0$$

$$\frac{c}{2i} - \frac{\bar{c}}{2i} = 0 \Rightarrow c - \bar{c} = 0 \Rightarrow c \in \mathbb{R}$$

$$2(a + \bar{a}) = 2c \Rightarrow a + \bar{a} = c \quad 2 \operatorname{Re} a = c$$

$$a = \frac{c}{2} + i d \quad d \in \mathbb{R}$$

$$\hookrightarrow X = \left(\frac{c}{2} + i d \right) z \frac{\partial}{\partial z} + c w \frac{\partial}{\partial w}$$

$$d=0 \quad X = c \left(\frac{1}{2} z \frac{\partial}{\partial z} + w \frac{\partial}{\partial w} \right)$$

Euler field or grading element

$$c=0 \quad \text{and} \quad z = \frac{\partial}{\partial z}$$

(5)

$\Rightarrow$ Euler field + purely imaginary field

Now, we start with a crucial lemma

We have seen that $X = \frac{\partial}{\partial w} \in \text{hol}(M, \omega)$

We also know that $\text{hol}(M, \omega)$ is a Lie subalgebra, that is, if $X, Y \in \text{hol}(M, \omega)$ then $[X, Y] \in \text{hol}(M, \omega)$

Lemma: Let $X = a(z, w) \frac{\partial}{\partial z} + b(z, w) \frac{\partial}{\partial w} \in \text{hol}(M, \omega)$
 ($a(z, w)$ and $b(z, w)$ are holomorphic) of weight $\mu \geq 0$ otherwise there is no w !

$$a(z, w) = a_k(z) w^k + a_{k-1}(z) w^{k-1} + \dots + a_0(z)$$

$$b(z, w) = b_e(z) w^e + b_{e-1}(z) w^{e-1} + \dots + b_0(z)$$

$$k > e \Rightarrow Y = k! a_k(z) \frac{\partial}{\partial z} \in \text{hol}(M, \omega)$$

$$k = e \Rightarrow Y = k! a_k(z) \frac{\partial}{\partial z} + e! b_e(z) \frac{\partial}{\partial w} \in \text{hol}(M, \omega)$$

$$k < e \Rightarrow Y = e! b_e(z) \frac{\partial}{\partial w} \in \text{hol}(M, \omega)$$

Comments: You just differentiate the coefficients until you have no w !

Proof:

(6)

Take $X = a(z, w) \frac{\partial}{\partial z} + b(z, w) \frac{\partial}{\partial w} \in \text{hol}(N, 0)$

and $\frac{\partial}{\partial w} \in \text{hol}(N, 0)$

$$\Rightarrow \left[\frac{\partial}{\partial w}, X \right] = \left[\frac{\partial}{\partial w}, a(z, w) \frac{\partial}{\partial z} + b(z, w) \frac{\partial}{\partial w} \right]$$

$$= \frac{\partial}{\partial w} a(z, w) \frac{\partial}{\partial z} + \frac{\partial}{\partial w} b(z, w) \in \text{hol}(N, 0)$$

... and we may ^{continue} the process until it stops! #

Example: $X = \frac{1}{2} z \frac{\partial}{\partial z} + w \frac{\partial}{\partial w}$

$$\Rightarrow \frac{\partial}{\partial w} \in \text{hol}(N, 0) \quad \text{: just differentiate!}$$

Consequence It is enough to consider the vector fields whose coefficients do not contain any w ! And then Integrate them.

It then remains to understand the so called rigid vector fields of weight ≥ 0 .
Do they exist or do we have all with

$$\frac{\partial}{\partial w}, \quad a \frac{\partial}{\partial z} + z \bar{a} z \frac{\partial}{\partial w} \quad \text{if } d = \frac{\partial}{\partial z}$$

1

2

1

Final Lecture: Lecture V

A

Chern-Moser Theory for Leu non degenerate hypersurfaces.

In the previous lecture, we have computed in detail that (M, σ) for

$$M \text{ given by } \operatorname{Im} w = z\bar{z}, (z, w) \in \mathbb{C}^2$$

But why? why is it important that the coefficients are at most of degree 2?

Here is the problem we want to solve

Let M a real hypersurface Leu non degenerate ^{pro} in $\mathbb{C}^2$ given by

$$M: \operatorname{Im} w = \varphi(z, \bar{z}, \operatorname{Re} w), \quad \varphi(0) = 0, \quad d\varphi(0) = 0$$

no terms in $z, \bar{z}, s$!

In fact $\operatorname{Im} w = z\bar{z} + \text{higher order terms}$

Remember that we put weights for z and $w = s + it$

$$z \rightsquigarrow \frac{1}{2}$$

$$w, s, t \rightsquigarrow 1$$

Therefore

weight 1

weight > 1 !

We are interested to biholomorphic map in a neighborhood of 0 in $\mathbb{C}^N$, $N = n+1$, study M to itself. (2)

$$\Psi: M \rightarrow M$$

What are the properties of these maps?

For a preview, let us recall the following theorem of Cartan.

Theorem (Cartan 1931)

Let $\Omega \subset \mathbb{C}^n$ be a bounded connected open set containing 0 and

$F: \Omega \rightarrow \Omega$ be a holomorphic map satisfying

- $F(0) = 0$
- $F'(0) = I$, where I is the identity matrix.

Then $F(z) = z$

The answer to the question above in the hyperbolic case is given by the theorem of Chan and Nori 1974

Theorem: Let M be a real hypersurface $M \subset \mathbb{C}^N$, $N = n+1$. Then its automorphisms (biholomorphisms) are uniquely determined by their jets of order two at p , provided that M is smooth, non degenerate. (3)

Definition of a jet of order 2 at p :

all the derivatives up to 2 of

$$H(0) \quad \frac{\partial H}{\partial \bar{z}}(0) \quad \frac{\partial H}{\partial w}(0) \quad \frac{\partial^2 H}{\partial \bar{z}^2}(0) \quad \frac{\partial^2 H}{\partial \bar{z} \partial w}(0) \quad \frac{\partial^2 H}{\partial w^2}(0)$$

Sketch of the proof in the case of $\mathbb{C}^2$:

$H: M \rightarrow M$ $H(0) = 0$. After a linear holomorphic change of coordinates, we may assume that

$$H_1(z, w) = z + f(z, w)$$

$$H_2(z, w) = w + g(z, w)$$

Exercise: $g(z, w)$ does not contain terms in z^2

$$H_1(z, w) = \underbrace{z}_{\frac{1}{2}} + \underbrace{f(z, w)}_{\text{weight} > \frac{1}{2}}$$

$$H_2(z, w) = \underbrace{w}_1 + \underbrace{g(z, w)}_{> 1}$$

Remember $z \sim \frac{1}{2}$, $w \sim 1$

(4)

$$f(z, w) = \sum_{\mu > \frac{1}{2}} f_{\mu}$$

$$g(z, w) = \sum_{\mu > 1} g_{\mu}$$

Since $\pi: \Pi \rightarrow M$, we have the following equation

$$\begin{aligned} \text{Im } H_2(z, w) &= \varphi \left(H_1(z, w), \overline{H_1(z, w)}, \right. \\ &\quad \left. \text{Re } H_2(z, w) \right) \quad l(z, w) \in M \\ &= H_1 \overline{H_1} + \dots \end{aligned}$$

Writing $H_1 = z + f$, $H_2 = w + g$

$$\frac{w - \bar{w}}{2i} + \frac{g - \bar{g}}{2i} = (z + f)(\bar{z} + \bar{f}) + \dots \quad | M$$

$$\Rightarrow \frac{s + \varphi(z, \bar{z}, s)}{2i} - \frac{(s - i\varphi(z, \bar{z}, s))}{2i} + \frac{g - \bar{g}}{2i}$$

$$= \left(z + f(z, s + \varphi(z, \bar{z}, s)) \right) (\bar{z} + \overline{f(z, s + \varphi(z, \bar{z}, s))}) + \dots$$

$$\Rightarrow \varphi(z, \bar{z}, s) + \frac{g - \bar{g}}{2i} = \frac{\left(z + f(z, s + \varphi(z, \bar{z}, s)) \right)}{\left(\bar{z} + \overline{f(z, s + \varphi(z, \bar{z}, s))} \right)}$$

Using the Taylor expansion at $(z, \bar{z}, s)$,

we obtain

(5)

$$\begin{aligned} & \varphi(z + f(z, s + i\varphi), \bar{z} + \overline{f(z, s + i\varphi)}, \\ & \quad s + \operatorname{Re} g(z, s + i\varphi(z, \bar{z}, s)) \\ &= \varphi(z, \bar{z}, s) + \operatorname{Im} g(z, s + i\varphi(z, \bar{z}, s)) \end{aligned}$$

Identifying the terms of minimal degree $\mu_0 \geq 1$, one obtains

$$\begin{aligned} 2 \operatorname{Re} \bar{z} \cdot f_{\mu_0 - \frac{1}{2}}^{(z + i\bar{z}z)}(z, s + i\bar{z}\bar{z}) &= \quad (*) \\ \operatorname{Im} g_{\mu_0}(z, s + i\bar{z}\bar{z}) \end{aligned}$$

Exercise Show (*)

$$\text{Now, let } Y = f_{\mu_0 - \frac{1}{2}} \frac{\partial}{\partial z} + g_{\mu_0} \frac{\partial}{\partial w}$$

We claim that $Y \in \ker(\Pi_0, 0)$, where

Π_0 is the hypersurface given by

$\sqrt{w} = \bar{z}z$! (forgetting about the higher order terms.)

Computing

(6)

$$\begin{aligned}
 & \operatorname{Re} Y(\sqrt{m}w - z\bar{z}), \text{ we get} \\
 & -f_{\mu_0 - \frac{1}{2}}(z, w) \bar{z} + g_{\mu_0}(z, w) \frac{1}{2i} \\
 & = \operatorname{Re} \left(f_{\mu_0 - \frac{1}{2}} \right) \bar{z} + \operatorname{Re} \left(g_{\mu_0} \right) \frac{1}{2i} \\
 & = -\operatorname{Re} \left(f_{\mu_0 - \frac{1}{2}} \right) \bar{z} + \frac{g_{\mu_0} \frac{1}{2} - \frac{1}{2i} \bar{g}_{\mu_0}}{4i} \\
 & = -\operatorname{Re} f_{\mu_0 - \frac{1}{2}} \bar{z} + \frac{g_{\mu_0} - \bar{g}_{\mu_0}}{4i} \\
 & = -\operatorname{Re} f_{\mu_0 - \frac{1}{2}}^{(z, \sqrt{m}z\bar{z})} \bar{z} + \frac{(\sqrt{m} g_{\mu_0})^{(z, \sqrt{m}z\bar{z})}}{2} \\
 & = \frac{-2 \operatorname{Re} f_{\mu_0 - \frac{1}{2}} \bar{z} + \operatorname{Im} g_{\mu_0}}{2} \Big|_{\Pi_0}
 \end{aligned}$$

Looking at $*$, we see that the last expression is zero, and therefore $Y| \in \operatorname{hol}(\Pi_0, 0)$.

Final remarks

(8)

Here we have considered the $\mathbb{A}^1$ -homotopy case, but there are theorems generalizing to " $\mathbb{A}^1$ -homotopy" hypersurfaces.

Lot of references.

Roland Meylan, Zaitsev for the hypersurface case

we may also consider manifolds of codimension ≥ 1 (hypersurface is codim 1)

Meylan, Beloshapka, etc.